DEC 2 1 2007 THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

09/075,429

Patent No.:

5,966,962

Inventor:

Murray et al.

Filing Date:

05/08/1998

Issue Date:

10/19/1999

Title:

Modular Hydration and Freezing Plant for Flexible

Refrigerant Media

### **DECLARATION OF BARRY E. NEGRIN**

1. I am one of the current patent attorneys for ThermaFreeze Products Corporation and Joseph C. Murray. I am submitting this declaration to provide factual support for the Petitions to Revive U.S. Patent Application No. 09/919,747 ("the '747 application").

- 2. Attached as Exhibit A is a true and correct copy of a printout of the "Home Page" and "Profiles" sections of the website found at the URL <a href="www.patentlaw.com">www.patentlaw.com</a> as it appeared on November 15, 2007.
- 3. Attached as Exhibit B is a true and correct copy of a printout of the application data and transaction history for US Patent Application No. 09/919,747 from the Patent Application Retrieval System of the USPTO available at <a href="http://portal.uspto.gov/external/portal/pair">http://portal.uspto.gov/external/portal/pair</a>. The data and printout of Exhibit B were generated November 12, 2007.
- 4. On September 18, 2007, I began working on the requisite background research necessary to establish the current status of the ThermaFreeze patent portfolio. Since then, I have spent over 80 hours on ThermaFreeze work. My time included the following

Declaration of Barry E. Negrin In Support of Petitions to Revive U.S. Patent Appl'n No. 09/919,747

activities: gathering the facts concerning why the maintenance fees were not paid on three of ThermaFreeze's patents in a timely manner and why responses to various Office actions for the '747 application were not responded to in a timely manner, as well as preparing and filing Petitions to Accept Late-Paid Maintenance Fees for U.S. Patent Nos. 5,628,845 ("the '845 patent"), 5,966,962 ("the '962 patent"), and 6,536,189 ("the '189 patent"). During that time, I have had numerous conversations and frequent correspondence with Joseph Murray, the inventor, and Robert "Bob" McGuire, his associate. Attached as Exhibit C hereto is a copy of a Declaration by Robert McGuire filed with the Petition for the '845 patent, which describes some of the fact-checking and searching he did concerning, among other things, the fate of the previous patent attorney C. Emmett Pugh and his files (specifically, his files concerning Joseph Murray's inventions).

5. In the course of the above-referenced research, on September 28, 2007, I informed Mr. Murray that the '747 application was abandoned. I had initially reviewed the application data for this application on PAIR. (See Exhibit B attached hereto.) I also reviewed the transaction history and image file wrapper to see what had transpired during the prosecution of the application. I noticed that the application had been abandoned on three separate occasions. The application was first abandoned on November 5, 2001 because no response was filed to the Notice to File Missing Parts. A Petition to Revive was filed by Mr. Pugh on November 4, 2002 (almost a year later). The application was abandoned the second time for failure to respond to a Restriction Requirement mailed July 14, 2003. In response to the second Notice of Abandonment mailed March 2, 2004,

Declaration of Barry E. Negrin In Support of Petitions to Revive

U.S. Patent Appl'n No. 09/919,747

Mr. Pugh filed a second Petition to Revive on August 13, 2004. Thereafter, a first Office

Action was mailed on February 7, 2005. Mr. Pugh appears to have never responded to

this Office Action, and the application went abandoned a third time as a result. The

requisite substantive response to the Office Action for this application is being submitted

herewith.

6. I hereby declare that all statements made herein of my own knowledge are true

and that all statements made on information and belief are believed to be true; and further

that these statements were made with the knowledge that willful false statements and the

like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of

the United States Code and that such willful false statements may jeopardize the validity

of the application or any patent(s) issued thereon.

Executed in New York, NY

Date: December 18, 2007

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**EXHIBIT A** 

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Profiles LEGAL REPRESENTATION

Servicing the united states, canada & Mexico, Europe, far east, central america & South America.

The Firm Sadly Announces the Passing of John J. Ambrose, Jr., the Firm's Founder, on June 25, 2004. His Great Intellect and Legal Talent, Along with His "Can Do," Supportive, Spiritual Attitudes, will be Greatly Missed. AMDG.

Pugh & Ambrose, P.C., is a professional corporation formed under the District of Columbia (U.S.A.) Professional Corporation Act. It currently maintains offices in San Diego, California, and in New England (Suffield, CT). The firm enjoys an "av" rating (highest rating available) from Martindale-Hubbell, the National Lawyer rating organization. (CEP)

### **ATTORNEYS**

- Senior Patent Attorney & Litigation Leader
- Senior Technology Attorney & Litigation Leader
- Of Counsel Patent Attorneys

### Senior Patent Attorney & Litigation Leader

C. EMMETT PUGH (REGISTERED PATENT ATTORNEY), born New Orleans, Louisiana; admitted to bar, Louisiana, Court of Appeals for the Federal Circuit (replaced Court of Customs & Patent Appeals) and U.S. Tax Court; District of Columbia; admitted to practice before U.S. Patent & Trademark Office and U.S. Supreme Court. Preparatory education, Loyola University of New Orleans (B.S. - Physics, with honors); legal education, Georgetown University (LLB/J.D.); Patent Examiner, U.S. Patent Office (1.5 yrs). Associated with patent litigation firms, Washington, D.C. (2.5 yrs.). Private specialized patent practice in Louisiana & Connecticut (25+ yrs. experience). Personally rated "av" - highest rating possible by Martindale-Hubbell, Named "Lawyer Advocate of the Year (LA, 1981)" by U.S. Small Business Administration; named Founding Chairman, Louisiana Small Business Equity Corporation by Governor David C. Treen to promote the formation of venture capital in the State of Louisiana (1981-1984); Adjunct Professor of Law, Loyola University [patent, trademark and copyright law (1986)]. Honorary fraternities: Delta Theta Phi, Sigma Pi Sigma; Alpha Sigma Nu (Jesuits' Phi Beta Kappa); Blue Key. Member, Louisiana State Bar Association, District of Columbia Bar Association, American Bar Association, and founding President, American Society of Innovators in Technology, Inc. Has served as trial attorney in federal courts of California, New York, Illinois, Texas, Louisiana, Mississippi, Alabama, Missouri, Rhode Island, Washington, D.C., etc., in various patent, trademark and copyright causes and other commercial litigation (RICO, antitrust, contract, real estate lease, etc.) and successfully appeared before the U.S. Supreme Court in a patent case.

Mr. Pugh is a member of the U.S. Patent Bar, and the District of Columbia and the Louisiana Bars only.

Home: Ziner Parentalitia demantes koopyrinkiska oo keeliliin eskoopa galee.

Senior Technology Attorney & Litigation Leader





Servicing The United States, Canada & Mexico, Europe, Far East, Central America & South America.

In Memoriam: John J. Ambrose, Jr., 1939-2004, the Firm's Founder.

Welcome to the web site of Pugh & Ambrose, P.C. We are here to help, and we want to be able to better guide you to the information for which you are looking. If you have any questions that we did not answer on this site, please contact us at +1.860.668.2433 (Connecticut) or by fax at +1.860.371.2216 or e-mail at epugh@PatentLaw.com

pages designed



member of the HiTech Synergy Group **EXHIBIT B** 

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Portal Home   Patents	Trademarks   O	Other		
Patent eBusiness	Patent Application In	Information Retrieval		6
当 Electronic Filing. 图 Patent Application Information		Order Certified Application As Filed Order Certified File Wrapper	ed Order Certified File	View Order List
(PAIR)	09/919,747	Insulating packaging material and related packaging system	and related packaging	
E Patent Ownership 任 Fees 日 Supplemental Resources &	Gelects Application		M. Feeliffied Adulati	
Support	Bibliographic Data	ta		
Patent Information	Application Number:	09/919,747	Customer Number:	
Patent Guidance and General Info	Filing or 371 (c) Date:	08-01-2001	Status:	Abandoned Failure to Respond to an Office Action
主 Employee & Office Directories 田 Recourses & Dublic Notices	Application Type:	Utility	Status Date:	09-29-2005
יייייייייייייייייייייייייייייייייייייי	Examiner Name:	BUI, LUAN KIM	Location:	ELECTRONIC
Patent Searches	Group Art Unit:	3728	Location Date:	
田 Search Patents & Applications 田 Search Biological Sequences	Confirmation Number:	6570	Earliest Publication No:	US 2003-0087051 A1
☑ Copies, Products & Services	Attorney Docket Number:	THERM2A4-CIP	Earliest Publication Date:	05-08-2003
Other	Class / Subclass:	206/594	Patent Number:	t
Copyrights Trademarks Policy & Law Reports	First Named Inventor:	Joseph Murray , Mobile, AL	Issue Date of Patent:	
er looren	Title of Invention:	Insulating packagin	Insulating packaging material and related packaging system	packaging system

### If you need help:

- Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail  $\overline{\it EBC@uspto.gov}$  for specific questions about Patent Application Information Retrieval (PAIR).
  - Send general questions about USPTO programs to the USPTO Contact Center (UCC).
- If you experience technical difficulties or problems with this application, please report them via e-mail to

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Portal Home   Patents	Trademarks	Other
Patent eBusiness ■	Patent Applic	Patent Application Information Retrieval
Electronic Filing		Order Certified Application As Filed Order Certified File Wrapper
(PAIR)	09/919,747	Insulating packaging material and related packaging system
出 <u>ratent Ownersnip</u> 田 <u>Fees</u> 田 Supplemental Resources &	Select Rew Ocea	Application fransaction miggothic Continuty Feblished Actinistsky. History Aviance History Aviance Automay/Agen
Support	Transaction	1 History
Patent Information	Date	Transaction Description
Patent Guidance and General Info	09-30-2005	Mail Abandonment for Failure to Respond to Office Action
Codes, Rules & Manuals	09-29-2005	Abandonment for Failure to Respond to Office Action
Employee & Office Directories	02-07-2005	Mail Non-Final Rejection
E Resources & Public Notices	02-07-2005	Non-Final Rejection
Patent Searches	01-25-2005	Date Forwarded to Examiner
Patent Official Gazette	08-13-2004	Response to Election / Restriction Filed
	01-25-2005	Mail Notice of Rescinded Abandonment
出 Search Biological Sequences	01-25-2005	Notice of Rescinded Abandonment in TCs
Copies, Products & Services	11-02-2004	Mail-Petition to Revive Application - Granted
Other	08-13-2004	Petition Entered
Copyrights	08-16-2004	Workflow incoming petition IFW
Trademarks Policy & Law	08-13-2004	Workflow incoming amendment IFW
Reports	03-02-2004	Mail Abandonment for Failure to Respond to Office Action
	03-01-2004	Abandonment for Failure to Respond to Office Action
	07-14-2003	Mail Restriction Requirement
	07-11-2003	Requirement for Restriction / Election
	08-01-2001	Information Disclosure Statement (IDS) Filed
	08-01-2001	Information Disclosure Statement (IDS) Filed
	05-22-2003	Case Docketed to Examiner in GAU
	01-13-2003	Transfer Inquiry to GAU

01-06-2003 12-19-2002 12-16-2002 09-03-2002 12-03-2002 11-18-2002 11-05-2001 08-10-2001 08-10-2001	Application Dispatched from OIPE	Application Is Now Complete	Additional Application Filing Fees	Applicant has submitted new drawings to correct Corrected Papers problems	Withdraw Pre-Exam Abandon	Mail-Petition to Revive Application - Granted	Petition Entered	Abandonment During Preexam Processing	Correspondence Address Change	IFW Scan & PACR Auto Security Review	Initial Exam Team nn
	01-06-2003	12-19-2002	12-16-2002	12-16-2002	09-03-2002	12-03-2002	11-18-2002	11-05-2001	09-05-2001	08-10-2001	08-01-2001

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**EXHIBIT C**